PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450



or <u>Fax</u>

(703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

	E ADDRESS (Note: Legibly mark-up	with any corrections or	use Block 1)	Note: A certificate of Fee(s) Transmittal. The papers. Each additional have its own certificate	al paper, such as	an assignmen	domestic ma or any other a nt or formal d	ailings of the ecompanying lrawing, must
Lisa B. Kole BAKER & BOTTS 30 Rockefeller Plaz New York, NY 101	za	OIPE 10 26 20	or the second	Cer I hereby certify that the States Postal Service vaddressed to the Mai transmitted to the USP	rtificate of Mailings Fee(s) Transmit with sufficient polyone I Stop ISSUE For TO, on the date in	ng or Transn	nission deposited wit t class mail in above, or being.	th the United an envelope ing facsimile
	\			Aisa B.	Kole		(D	Depositor's name)
		E.	Ĵ		10			(Signature)
		ELIA PACA	MARIE	UME	700	2/24	104	(Date)
APPLICATION NO.	FILING DATE	THAT A	FIRST NAMED INVE	NTOR	ATTORNEY DO	CKET NO.	CONFIRMA	TION NO.
09/621,781	07/21/2000		Paul B. Fisher		61150/JPW	//JML	497	
TITLE OF INVENTION: N CANCER CELLS AND US	IUCLEIC ACIDS COMPR ES THEREOF	ISING REGIONS	OF THE RAT PEO	G-3 PROMOTER THA	T DISPLAY EL	EVATED EX	(PRESSION	IN HUMAN
APPLN. TYPE	SMALL ENTITY	ISSUE FE	E I	UBLICATION FEE	TOTAL FEE(S) DUE	DATE I	DUE
nonprovisional	YES	\$665		\$0	\$665		02/26/2	2004
EXAM	INER	ART UNI	т	LASS-SUBCLASS	1			
LAMBERTSC	N, DAVID A	1636		536-024100	J			
Address form PTO/SB/12 "Fee Address" indication PTO/SB/47; Rev 03-02 of Number is required. 3. ASSIGNEE NAME AND PLEASE NOTE: Unless been previously submitted (A) NAME OF ASSIGNET The Trustees of in the City Please check the appropriate	on (or "Fee Address" Indicated more recent) attached. Use RESIDENCE DATA TO Be an assignee is identified below to the USPTO or is being see to Columbia Unity of New York assignee category or category.	E PRINTED ON Town, no assignee da submitted under sep (B) LVETSITY	agents OR, alter firm (having as agent) and the nattorneys or agent will be printed. HE PATENT (print that a will appear on the arate cover. Complete RESIDENCE: (CINEW YOuted on the patent);	e patent. Inclusion of as etion of this form is NOT IY and STATE OR COU rk, New York	of a single attorney or 2_ered patent d, no name 3_esignee data is on a substitute for f	ly appropriate	e when an ass	signment has
4a. The following fee(s) are o	encroseu.		Payment of Fee(s):	nount of the fee(s) is enc	losed			
☐ Publication Fee				t card. Form PTO-2038				
☐ Advance Order - # of C	Copies			nereby authorized by ch imber		I fee(s), or ci	redit any ove	rpayment, to
Director for Patents is reques	ted to apply the Issue Fee ar							n).
	ion is required by 37 CFR by the public which is to find is governed by 35 U.S.C. It is governed by 35 U.S.C. It is to complete, including gain to the USPTO. Time will the amount of time you rais burden, should be sent to office, U.S. Department of END FEES OR COMPLE	ed) will not be accept; or the assigned tent and Trademark 1.311. The inform le (and by the USI 22 and 37 CFR 1.14 athering, preparing, I vary depending the equire to complete to the Chief Inform of Commerce, All TED FORMS TO	ation is required to PTO to process) and This collection is and submitting the pon the individual this form and/or ration Officer IIS	03/02/2004 F 01 FC:2501	METEKIS 0000	0065 0962:	1781 665.00 OP	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Lisa B. Kole BAKER & BOTTS 30 Rockefeller Plaza New York, NY 10112

NOTICE OF ALLOWANCE AND FEE(S) DUE

11/26/2003

EXAMINER

LAMBERTSON, DAVID A

ART UNIT

PAPER NUMBER

1636

DATE MAILED: 11/26/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/621,781	07/21/2000	Paul B. Fisher	61150/JPW/JML	4970

TITLE OF INVENTION: NUCLEIC ACIDS COMPRISING REGIONS OF THE RAT PEG-3 PROMOTER THAT DISPLAY ELEVATED EXPRESSION IN HUMAN CANCER CELLS AND USES THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665·	02/26/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 4

Docketed
For 1 176 12004 by
7/26/04

PTOL-85 (Rev. 10/03) Approved for use through 04/30/2004.